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Docket Number: 008312-0305620
Client Reference: P4MT-03S0117-1

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of
KATSUO IWATA, et al.

Group Art Unit: 2627

Application No.: 10/651,039

Examiner: GOMA, Tawfik A.

Filed: August 29, 2003

Confirmation No.: 8506

For: OPTICAL HEAD AND OPTICAL DISC APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the reference(s) has been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR 1.97(e)(1).

The references were cited in a Japanese Office Action. An English language version of the Japanese Office Action is attached for the Examiner's information.

English-language Abstracts of the non-English language references are attached hereto, and are relied upon for a statement of relevance for those references.

Respectfully Submitted,

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FORM PTO-1449 (modified)
 To: U.S. Department of Commerce
 (PW FORM PAT-1449)
 Patent and Trademark Office

Atty.
 Dkt. No.

M#

Client Ref.

008312-0305620

T4KN-03S0117-1

Applicant: IWATA et al.

Appln. No.: 10/651, 039

Filing Date: August 29, 2003

Examiner: Tawfik Goma Group Art Unit: 2627

Date: October 4, 2006

Page: 1 of 1

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
AR						
BR						
CR						
DR						
ER						
FR						
GR						
HR						
IR						
JR						
KR						
LR						
MR						
NR						

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
OR	08-339570	12/1996	Japan	Sugawara et al.	X			
PR	2001-209957	08/2001	Japan	Takahashi	X			
QR	2002-298423	10/2002	Japan	Masui et al.	X			
RR								
SR								
TR								
UR								
VR								
WR								
XR								

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

YR	Japanese Office Action dated August 8, 2006 for Appln. No. 2002-382259	X
ZR		
AAR		
BBR		
CCR		
DDR		

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.